Interrogative dependencies and the constructive content of inquisitive proofs

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Three natural logical notions

Standard entailment

$$\neg p \models \neg (p \land q)$$

► Resolution

$$\neg p \setminus ?(p \land q)$$

Interrogative dependency

$$p \leftrightarrow q \land r, ?q, ?r \Rightarrow ?p$$

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Goal of this talk

Show that these notions are all instances of logical consequence in a uniform logic of information and issues.

Overview

Part I: semantics

Inquisitive semantics for declaratives and interrogatives.

Part II: entailment

Declarative entailment, resolution and interrogative dependencies.

Part III: logical calculus

Computational content of inquisitive proofs.

Part I

Semantics

Definition (Syntax of InqD_{π})

 $\mathcal L$ consists of a set $\mathcal L_!$ of declaratives and a set $\mathcal L_?$ of interrogatives.

Abbreviations

- If $\alpha \in \mathcal{L}_!$, $\neg \alpha := \alpha \to \bot$
- If $\alpha, \beta \in \mathcal{L}_!$, $\alpha \vee \beta := \neg(\neg \alpha \wedge \neg \beta)$
- If $\alpha \in \mathcal{L}_!$, $?\alpha := ?\{\alpha, \neg \alpha\}$

Notational convention on meta-variables

	Declaratives	Interrogatives	Full language
Formulas	α, β, γ	μ, ν, λ	$arphi, \psi, \chi$
Sets of formulas	Γ	٨	Φ

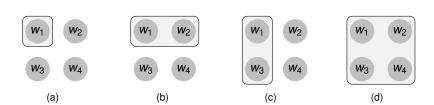
Definition (Models)

A model for a set \mathcal{P} of atoms is a pair $M = \langle \mathcal{W}, V \rangle$ where:

- W is a set, whose elements we call possible worlds
- ▶ $V: \mathcal{W} \to \wp(\mathcal{P})$ is a valuation function

Definition (Information states)

An information state is a set of possible worlds.



Semantics

- Usually, semantics assigns truth-conditions at worlds.
- However, our language now contains interrogatives as well.
- Interrogative meaning = resolution conditions at states.
- Our semantics is defined by a relation $s \models \varphi$ of support between information states s and formulas φ , where:

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Declaratives: s \models \alpha \iff \alpha is established in s Interrogatives: s \models \mu \iff \mu is resolved in s
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Definition (Support)

- 1. $s \models p \iff p \in V(w)$ for all worlds $w \in s$
- 2. $s \models \bot \iff s = \emptyset$
- 3. $s \models ?\{\alpha_1, \ldots, \alpha_n\} \iff s \models \alpha_1 \text{ or } \ldots \text{ or } s \models \alpha_n$
- 4. $s \models \varphi \land \psi \iff s \models \varphi$ and $s \models \psi$
- 5. $s \models \varphi \rightarrow \psi \iff$ for any $t \subseteq s$, if $t \models \varphi$ then $t \models \psi$

Fact

- ▶ Persistence: if $s \models \varphi$ and $t \subseteq s$, then $t \models \varphi$
- ▶ Absurd state: $\emptyset \models \varphi$ for any φ

Definition (Truth)

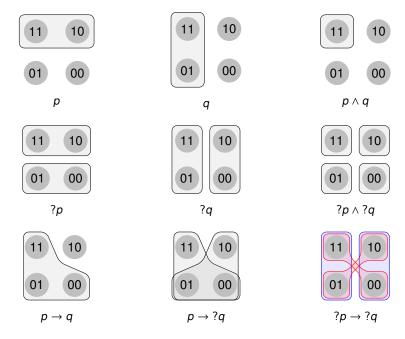
$$M, w \models \varphi \stackrel{\text{def}}{\iff} M, \{w\} \models \varphi$$

Fact (Truth-conditions)

- $ightharpoonup M, w \models p \iff p \in V(w)$
- M, w ⊭ ⊥
- ▶ $M, w \models ?\{\alpha_1, ..., \alpha_n\} \iff M, w \models \alpha_1 \text{ or } ... \text{ or } M, w \models \alpha_n$
- $M, w \models \varphi \land \psi \iff M, w \models \varphi \text{ and } M, w \models \psi$
- $M, w \models \varphi \rightarrow \psi \iff M, w \not\models \varphi \text{ or } M, w \models \psi$

Fact (Declaratives are truth-conditional)

$$M, s \models \alpha \iff M, w \models \alpha \text{ for all } w \in s$$



Part II

Entailment

Definition (Resolutions)

- $\mathcal{R}(\alpha) = \{\alpha\}$ if α is a declarative
- $\qquad \qquad \mathcal{R}(?\{\alpha_1,\ldots,\alpha_n\}) = \{\alpha_1,\ldots,\alpha_n\}$
- $\mathcal{R}(\mu \wedge \nu) = \{ \alpha \wedge \beta \mid \alpha \in \mathcal{R}(\mu) \text{ and } \beta \in \mathcal{R}(\nu) \}$

Fact

$$\mathit{M}, \mathit{s} \models \varphi \iff \mathit{M}, \mathit{s} \models \alpha \text{ for some } \alpha \in \mathcal{R}(\varphi)$$

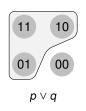
Definition (Presupposition of an interrogative)

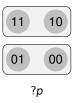
$$\pi_{\mu} := \bigvee \mathcal{R}(\mu)$$

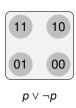
Fact

$$M, w \models \mu \iff M, w \models \pi_{\mu}$$









Definition (Resolutions of a set)

A resolution of a set Φ is a set of declaratives Γ such that:

- ▶ $\forall \varphi \in \Phi \ \exists \alpha \in \Gamma \ \text{s.t.} \ \alpha \in \mathcal{R}(\varphi)$
- ▶ $\forall \alpha \in \Gamma \exists \varphi \in \Phi \text{ s.t. } \alpha \in \mathcal{R}(\varphi)$

The set of resolutions of Φ is denoted $\mathcal{R}(\Phi)$.

Example

The following are resolutions of $\{p, ?q, ?r\}$:

- ▶ {p, q, r}
- $\{p, q, \neg r\}$
- \triangleright { $p, q, \neg q, r$ }

Definition (Entailment)

$$\Phi \models \psi \iff \text{for all } M, s, \text{ if } M, s \models \Phi \text{ then } M, s \models \psi$$

We will distinguish two cases:

- the conclusion ψ is declarative
- the conclusion ψ is interrogative

Fact (Entailment towards declaratives is truth-conditional)

$$\Phi \models \alpha \iff \text{for all } M, w, \text{ if } M, w \models \Phi \text{ then } M, w \models \alpha$$

Corollary (Conservativity on classical logic)

If
$$\Gamma, \alpha$$
 are propositional formulas, $\Gamma \models \alpha \iff \Gamma \models_{\mathsf{CPL}} \alpha$

Corollary

$$\Gamma, \Lambda \models \alpha \iff \Gamma, \Pi_{\Lambda} \models \alpha \quad \text{where } \Pi_{\Lambda} = \{\pi_{\mu} \mid \mu \in \Lambda\}$$

Fact

$$\Phi \models \psi \iff \forall \Gamma \in \mathcal{R}(\Phi) \quad \exists \alpha \in \mathcal{R}(\psi) \quad \text{s.t.} \quad \Gamma \models \alpha$$

Entailment towards an interrogative

 $\begin{array}{ccc} \Gamma, \Lambda \models \mu & \Longleftrightarrow & \text{given } \Gamma, \text{ any resolution of } \Lambda \text{ entails a resolution of } \mu \\ & \Longleftrightarrow & \text{given } \Gamma, \Lambda \text{ determines } \mu \end{array}$

Example

$$p \leftrightarrow q \land r$$
, $?q \land ?r \models ?p$

- $ightharpoonup p \leftrightarrow q \wedge r, \neg q \wedge \neg r \models \neg p$

- Interrogative dependencies are instances of entailment with
 - interrogative conclusion
 - some interrogative assumptions
- Such dependencies are internalized in the language as implications.
 E.g.: ?p → ?q
- The particular dependency between two interrogatives may itself be one of the variables at stake. Consider:

?
$$p$$
, ? $p \rightarrow ?q \models ?q$

Corollary (Split)

$$\Gamma \models \mu \iff \Gamma \models \alpha \text{ for some } \alpha \in \mathcal{R}(\mu)$$

Declarative-to-interrogative entailment

$$\Gamma \models \mu \iff \Gamma \text{ resolves } \mu.$$

Example

$$\neg p \models ?(p \land q)$$

Thus, inquisitive entailment encompasses:

▶ Declarative entailment:

$$\Gamma \models \alpha \iff \Gamma \text{ implies } \alpha$$

Resolution:

$$\Gamma \models \mu \iff \Gamma \text{ resolves } \mu$$

Interrogative dependency:

$$\Gamma, \Lambda \models \mu \iff \text{given } \Gamma, \ \Lambda \text{ determines } \mu$$

Part III

Logical calculus

 $\frac{\alpha \quad \beta}{\alpha \land \beta} \quad \frac{\alpha \land \beta}{\alpha} \quad \frac{\alpha \land \beta}{\beta}$

Implication

Falsum

 $[\alpha]$

$$\begin{array}{c} \vdots \\ \frac{\beta}{\alpha \to \beta} \end{array} \quad \frac{\alpha \quad \alpha \to \beta}{\beta}$$

Double negation axiom

$$\neg \neg \alpha \rightarrow \alpha$$

$$[\varphi]$$

$$\frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$$

Double negation axiom

$$\neg \neg \alpha \rightarrow \alpha$$

Conjunction

Implication

Falsum

$$\frac{\varphi \quad \psi}{\varphi \wedge \psi} \qquad \frac{\varphi \wedge \psi}{\varphi} \quad \frac{\varphi \wedge \psi}{\psi}$$

$$\begin{array}{c} [\varphi] \\ \vdots \\ \psi \\ \to \psi \end{array} \qquad \frac{\varphi \quad \varphi \to \psi}{\psi}$$

$$\frac{\perp}{\varphi}$$

Interrogative

Double negation axiom

$$\neg \neg \alpha \rightarrow \alpha$$

$$\begin{array}{c} [\alpha_1] \\ [\alpha_n] \\ \vdots \\ [\alpha_i] \\ [\alpha_i] \\ [\alpha_1, \dots, \alpha_n] \end{array}$$

$$\begin{array}{c} \vdots \\ [\alpha_n] \\ \vdots \\ [\alpha_n] \\ [\alpha_n] \\ \vdots \\ [\alpha_n] \\ [\alpha_n] \\ [\alpha_n] \\ \vdots \\ [\alpha_n]$$

Conjunction

Implication

 $[\varphi]$

Falsum

$$\frac{\varphi \quad \psi}{\varphi \wedge \psi} \qquad \frac{\varphi \wedge \psi}{\varphi} \quad \frac{\varphi \wedge \psi}{\psi}$$

$$\begin{array}{ccc}
\vdots \\
\psi \\
\hline
\psi \\
\hline
\psi
\end{array}
\qquad \begin{array}{cccc}
\varphi & \varphi \to \psi \\
\hline
\psi
\end{array}$$

Interrogative

Double negation axiom

$$\neg \neg \alpha \rightarrow \alpha$$

Kreisel-Putnam axiom

Theorem (Resolution theorem)

$$\Phi \vdash \psi \iff \forall \Gamma \in \mathcal{R}(\Phi) \quad \exists \alpha \in \mathcal{R}(\psi) \quad \text{s.t.} \quad \Gamma \vdash \alpha$$

Constructive significance

The left-to-right proof describes a procedure that given a proof $P:\Phi \vdash \psi$

- takes a specific resolution Γ of Φ
- ▶ by induction on P, constructs a proof $\Theta(P, \Gamma) : \Gamma \vdash \alpha$ concluding with some specific resolution α of ψ

Thus, P can be seen as encoding a specific dependency of ψ on Φ or, a method for turning resolutions of Φ into resolutions of ψ .

Example

Consider the proof

$$P: p \leftrightarrow q \land r, ?q \land ?r \vdash ?p$$

$$\frac{?q \wedge ?r}{?q} (\land e) \xrightarrow{\begin{array}{c} ?q \wedge ?r \\ ?q \end{array}} (\land e) \xrightarrow{\begin{array}{c} [q] & [r] & p \leftrightarrow q \wedge r \\ \hline ?p & (?i) \end{array}} (P_1) \xrightarrow{\begin{array}{c} [-r] & p \leftrightarrow q \wedge r \\ \hline ?p & (?i) \end{array}} (P_2) \xrightarrow{\begin{array}{c} [-q] & p \leftrightarrow q \wedge r \\ \hline ?p & (?i) \end{array}} (P_3)$$

- ▶ Suppose $?q \land ?r$ is resolved to $q \land r$.
- ► The procedure delivers the proof $\Theta(P, q \land r)$: $p \leftrightarrow q \land r$, $q \land r \vdash p$

$$\frac{\frac{q \wedge r}{q} \ (\wedge e) \ \frac{q \wedge r}{r} \ (\wedge e) \ p \leftrightarrow q \wedge r}{p} \ (P_1)$$

Example

Consider the proof

$$P: p \leftrightarrow q \land r, ?q \land ?r \vdash ?p$$

$$\frac{?q \wedge ?r}{?q} (\land e) \xrightarrow{\begin{array}{c} ?q \wedge ?r \\ ?q \end{array}} (\land e) \xrightarrow{\begin{array}{c} [q] & [r] & p \leftrightarrow q \wedge r \\ \hline ?p & (?i) \end{array}} (P_1) \xrightarrow{\begin{array}{c} [-r] & p \leftrightarrow q \wedge r \\ \hline ?p & (?i) \end{array}} (P_2) \xrightarrow{\begin{array}{c} [-q] & p \leftrightarrow q \wedge r \\ \hline ?p & (?i) \end{array}} (P_3)$$

- ▶ Suppose $?q \land ?r$ is resolved to $q \land \neg r$.
- The procedure delivers the proof

$$\Theta(P, q \wedge \neg r) : p \leftrightarrow q \wedge r, q \wedge \neg r \vdash \neg p$$

$$\frac{q \wedge \neg r}{\neg r} \ (\wedge e) \quad p \leftrightarrow q \wedge r \\ \hline \neg p \qquad (P_2)$$

Wrapping up

Semantics

We can generalize classical logic beyond truth-conditions, to a setting where we can treat both information and issues.

Entailment

This setting yields a general entailment relation, encompassing declarative entailment, resolution, and interrogative dependency.

Logical calculus

The associated logic is a conservative extension of classical logic, with constructive features when it comes to interrogatives.

Proofs

Proofs involving interrogatives have a specific computational content: they encode methods for turning resolutions of the interrogative assumptions into resolutions of the interrogative conclusion.





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