

# Subordinations, closed relations and compact Hausdorff spaces

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This talk is in two parts:

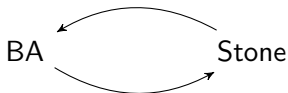
**Part I:** Duality results for Boolean algebra with a relation

(based on *Subordinations, closed relations and compact Hausdorff spaces*. Guram Bezhanishvili, Nick Bezhanishvili, Sumit Sourabh, Yde Venema. Submitted, December 2014.)

**Part II:** Canonicity results for Boolean algebra with a relation

(work in progress)

# Stone Duality (1936)



Stone duality (1936)

A [Stone space](#) is a compact Hausdorff and zero-dimensional space.

# Jónsson-Tarski Duality (1951-52)

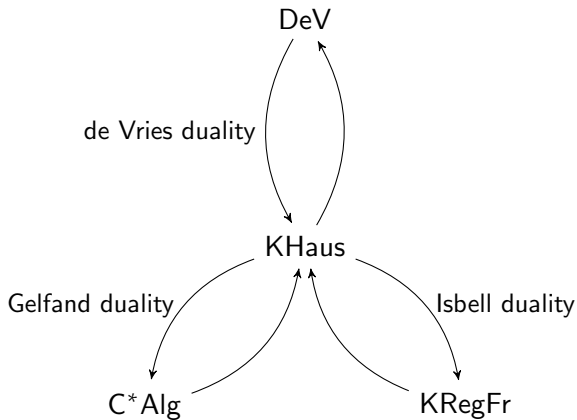


Jónsson-Tarski duality

A **modal space** is a Stone space  $W$  with a relation  $R$  which satisfies:

- (i)  $R[w]$  is a closed set
- (ii)  $R^{-1}(C)$  is a clopen set for each clopen  $C \subseteq W$ .

# Dualities for Compact Hausdorff spaces



Dualities for KHaus

## de Vries algebra [de Vries (1962)]

A **de Vries algebra** is a pair  $(A, <)$  consisting of a complete Boolean algebra  $A$  and a binary relation  $<$  on  $A$  satisfying the following

- (S1)  $0 < 0$  and  $1 < 1$ ;
- (S2)  $a < b, c$  implies  $a < b \wedge c$ ;
- (S3)  $a, b < c$  implies  $a \vee b < c$ ;
- (S4)  $a \leq b < c \leq d$  implies  $a < d$ .
- (S5)  $a < b$  implies  $a \leq b$ ;
- (S6)  $a < b$  implies  $\neg b < \neg a$ ;
- (S7)  $a < b$  implies there is  $c \in B$  with  $a < c < b$ ;
- (S8)  $a \neq 0$  implies there is  $b \neq 0$  with  $b < a$ .

**Example 1** The set of **regular open** sets ( $U = \mathbf{IC}U$ ) of a compact Hausdorff space  $X$  form a complete Boolean algebra.

For  $U, V \in \text{RegOp}(X)$  define  $U < V$  if  $\mathbf{C}U \subseteq V$ . Then  $(\text{RegOp}(X), <)$  is a de Vries algebra.

**Example 2** For  $B$  a complete Boolean algebra,  $(B, \leq)$  is de Vries.

**Example 3** Let  $B = \mathcal{P}\mathbb{N}$  be the power set of the natural numbers and define  $S < T$  iff  $S \subseteq T$  and at least one of  $S, T$  is finite or cofinite.

For a de Vries algebra  $(B, <)$  and  $A \subset B$ , define

$$\uparrow A = \{b : a < b \text{ for some } a \in A\}$$

A filter  $F$  of a de Vries algebra  $B$  is **round** if  $F = \uparrow F$ . The maximal round filters are called ends. The set  $\mathcal{E}B$  of ends of  $B$  is topologized by the basis of sets  $\varphi(b) = \{E : b \in E\}$ .

## Theorem

*$\mathcal{E}B$  is a compact Hausdorff space whose de Vries algebra of regular open sets is isomorphic to  $B$ .*



## Definition

A **subordination** on a Boolean algebra  $B$  is a binary relation  $<$  satisfying:

- (S1)  $0 < 0$  and  $1 < 1$ ;
- (S2)  $a < b, c$  implies  $a < b \wedge c$ ;
- (S3)  $a, b < c$  implies  $a \vee b < c$ ;
- (S4)  $a \leq b < c \leq d$  implies  $a < d$ .

Let **Sub** be the category whose objects are pairs  $(B, <)$ , where  $B$  is a BA and  $<$  is a subordination on  $B$ , and whose morphisms are Boolean homomorphisms  $h$  satisfying  $a < b$  implies  $h(a) < h(b)$ .

# Duality for Subordinations

Let  $\text{StR}$  be the category whose objects are pairs  $(X, R)$ , where  $X$  is a Stone space and  $R$  is a closed relation on  $X$ , and whose morphisms are continuous stable morphisms<sup>1</sup>.

For  $(B, <) \in \text{Sub}$ , let  $(B, <)_* = (X, R)$ , where  $X$  is the Stone space of  $B$  and  $xRy$  iff  $\uparrow x \subseteq y$ . Then  $(X, R) \in \text{StR}$

For  $(X, R) \in \text{StR}$ , let  $(X, R)^* = (\text{Clop}(X), <)$ , where  $U < V$  iff  $R[U] \subseteq V$ . Then  $(\text{Clop}(X), <) \in \text{Sub}$ .

## Theorem

*The categories Sub and StR are dually equivalent.*

<sup>1</sup>We say  $f : X_1 \rightarrow X_2$  is stable if  $xR_1y$  implies  $f(x)R_2f(y)$

# Characteristic function of the relation

A map  $\rightarrow: B \times B \rightarrow \mathbf{2}$  a **strict implication** if it satisfies

$$(I1) \quad 0 \rightarrow a = a \rightarrow 1 = 1.$$

$$(I2) \quad (a \vee b) \rightarrow c = (a \rightarrow c) \wedge (b \rightarrow c).$$

$$(I3) \quad a \rightarrow (b \wedge c) = (a \rightarrow b) \wedge (a \rightarrow c).$$

**Example** If  $(B, <) \in \text{Sub}$ , then  $<_R: B \times B \rightarrow \mathbf{2}$  as defined below is a strict implication.

$$\rightarrow_{<}(x, y) := \begin{cases} 1 & \text{if } x < y \\ 0 & \text{otherwise.} \end{cases}$$

Conversely, if  $\rightarrow: B \times B \rightarrow \mathbf{2}$  is a strict implication, then  $<_{\rightarrow} \subseteq B \times B$  as defined below is a subordination.

$$a <_{\rightarrow} b \text{ iff } a \rightarrow b = 1$$

# Generalized Jónsson-Tarski duality

By the generalized Jónsson-Tarski duality the dual ternary relation  $S \subseteq X \times Y \times Z$  of a dual operator map  $f : A \times B \rightarrow C$  is given by

$$(x, y, z) \in S \text{ iff } (\forall a \in A)(\forall b \in B)(f(a, b) \in z \text{ implies } a \notin x \text{ or } b \in y);$$

The Stone space of  $\mathbf{2}$  is the singleton discrete space  $\{z\}$ , where  $z = \{1\}$  is the only ultrafilter of  $\mathbf{2}$ .

Therefore, the dual ternary relation  $S \subseteq X \times X \times \{z\}$  of  $\rightarrow : B \times B \rightarrow \mathbf{2}$  is given by

$$(x, y, z) \in S \text{ iff } (\forall a, b \in B)(a \rightarrow b = 1 \text{ implies } a \notin x \text{ or } b \in y).$$

# Generalized Jónsson-Tarski duality

The ternary relation  $S$  reduces to a binary relation  $R \subseteq X \times X$  by

$$xRy \text{ iff } (x, y, 1) \in S.$$

Using equivalence between strict implications and subordinations,

$$xRy \text{ iff } (\forall a, b \in B)(a < b \text{ implies } a \notin x \text{ or } b \in y) \text{ iff } \uparrow x \subseteq y.$$

From Jónsson-Tarski duality, the dual ternary relation  $S \subseteq X \times X \times \{z\}$  satisfies:  $S^{-1}(\{z\})$  is closed. Hence,  $R = S^{-1}(\{1\})$  is a closed relation.

## Precontact algebra [Düntsch, Vakarelov (2003)]

A **precontact algebra** is a pair  $(A, C)$  where  $A$  is a BA and  $C$  is a binary relation on  $A$  satisfying:

(C0)  $aCb$  implies  $a, b \neq 0$ .

(C+)  $aC(b \vee c)$  implies  $aCb$  or  $aCc$ ;  $(a \vee b)Cc$  implies  $aCb$  or  $aCc$ .

Precontact algebra and their subvarieties are used in the algebraic analysis of theory of regions.

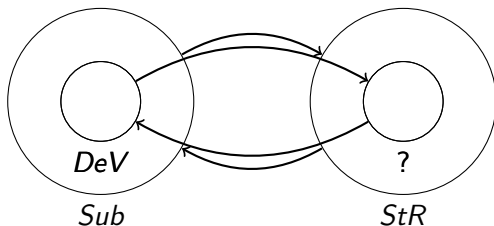
## Proximity lattice [Jung, Sünderhauf (1996)]

A **proximity lattice** is a pair  $(\mathbb{L}, R)$ , where  $L$  is a lattice and  $R \subseteq L \times L$  is a relation satisfying the following axioms:

- 1  $R \circ R = R$ .
- 2 For any finite set  $A \subseteq L$  and  $b \in L$ ,  $\bigvee A R b \Leftrightarrow \forall a \in A a R b$ .
- 3 For any finite set  $B \subseteq L$  and  $b \in L$ ,  $a R \bigwedge B \Leftrightarrow \forall b \in B a R b$ .

Strong proximity lattices are the algebraic structures dual to stably compact spaces.

# A "modal" de Vries duality?





# Elementary conditions

Let  $(B, <)$  be a subordination, which satisfies the following axioms.

(S5)  $a < b$  implies  $a \leq b$ ;

(S6)  $a < b$  implies  $\neg b < \neg a$ ;

(S7)  $a < b$  implies there is  $c \in B$  with  $a < c < b$ ;

## Lemma

Let  $(X, R) \in \text{StR}$  be the dual space of  $(B, <)$ .

- 1  $R$  is reflexive iff  $<$  satisfies (S5).
- 2  $R$  is symmetric iff  $<$  satisfies (S6).
- 3  $R$  is transitive iff  $<$  satisfies (S7).

## Lattice subordination [G. Bezhanishvili (2013)]

A **lattice subordination** is a subordination  $(A, <)$  where  $<$  additionally satisfies:

(S9)  $a < b$  implies that there exists  $c \in B$  with  $c < c$  and  $a \leq c \leq b$ .

A quasi-order on a Stone space  $X$  is a **Priestly quasi-order** if  $x \not\leq y$  implies that there exists a clopen up-set  $U$  of  $X$  with  $x \in U$  and  $y \notin U$ .

## Lemma

*$R$  is a Priestley quasi-order iff  $<$  satisfies (S9).*

# A “modal” de Vries duality

A continuous map  $f : X \rightarrow Y$  between compact Hausdorff spaces is **irreducible** provided the  $f$ -image of each proper closed subset of  $X$  is a proper subset of  $Y$ .

We call a closed equivalence relation  $R$  on a compact Hausdorff space  $X$  **irreducible** if the factor-map  $\pi : X \rightarrow X/R$  is irreducible.

A closed equivalence relation  $R$  is irreducible iff for each proper closed subset  $F$  of  $X$ , we have  $R[F]$  is a proper subset of  $X$  (non-elementary!).

(S8)  $a \neq 0$  implies there is  $b \neq 0$  with  $b < a$ .

## Lemma

*Let  $(B, <)$   $\in$  Sub and let  $(X, R)$  be the dual of  $(B, <)$ . Then the closed equivalence relation  $R$  is irreducible iff  $<$  satisfies (S8).*

We call a pair  $(X, R)$  a *Gleason space* if  $X$  is an extremely disconnected space and  $R$  is an irreducible equivalence relation on  $X$ .

## Theorem

*Gle is dually equivalent to DeV, hence Gle is equivalent to KHaus.*

# Categories of algebras

Category	Objects
Sub	Boolean algebras with a subordination
PCon	Boolean algebras with a precontact relation
MSub	Boolean algebras with a modally definable subordination
SubK4	Sub satisfying (S7)
SubS4	Sub satisfying (S5) and (S7)
SubS5	Sub satisfying (S5), (S6), and (S7)
LSub	Boolean algebras with a lattice subordination
Com	Sub satisfying (S5), (S6), (S7) and (S8)
DeV	De vries algebras

Categories of Boolean algebras with subordination

# Categories of spaces

Category	Objects
StR	Stone spaces with a closed relation
MS	Modal spaces
StR <sup>tr</sup>	Stone spaces with a closed transitive relation
StR <sup>qo</sup>	Stone spaces with a closed reflexive and transitive relation
StR <sup>eq</sup>	Stone spaces with a closed equivalence relation
QPS	Quasi-ordered Priestley spaces
StR <sup>ieq</sup>	Stone space with an irreducible closed relation
KHaus	Compact Hausdorff spaces
Gle	Gleason spaces

Categories of spaces

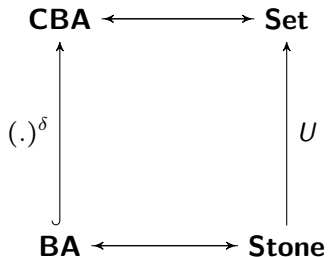
# Main results

$\text{PCon} \cong \text{Sub} \sim^d \text{StR}$
$\text{MSub} \sim^d \text{MS}^{\text{st}}$
$\text{MA} \cong \text{MSub}^{\text{m}} \sim^d \text{MS}$
$\text{SubK4} \sim^d \text{StR}^{\text{tr}}$
$\text{SubS4} \sim^d \text{StR}^{\text{qo}}$
$\text{SubS5} \sim^d \text{StR}^{\text{eq}}$
$\text{LSub} \sim^d \text{QPS}$
$\text{Com} \sim^d \text{StR}^{\text{ieq}}$
$\text{DeV} \sim^d \text{Gle} \sim \text{KHaus}$

Main isomorphisms, equivalences, and dual equivalences

# Canonical extensions

Canonical extension of a BA provides an algebraic characterization of its double dual.





## Canonical extension of a BA

The *canonical extension* of a BA  $A$  is a complete BA  $A^\delta$  containing  $A$  as a subalgebra, such that

- (*denseness*) Every element of  $A^\delta$  can be expressed both as a join of meets and as a meet of joins of elements from  $A$ ;
- (*compactness*) For all  $S, T \subseteq A$  with  $\bigwedge S \leq \bigvee T$  in  $A^\delta$ , there exist finite sets  $F \subseteq S$  and  $G \subseteq T$  such that  $\bigwedge F \leq \bigvee G$ .

**Theorem [Jónsson, Tarski (1951)]** The canonical extension of a BA exists and is unique.

- An element  $x \in A^\delta$  is closed (resp. open) if it is the meet (resp. join) of some subset of  $A$ .
- A monotone map  $f : A \rightarrow B$  can be extended to a map  $: A^\delta \rightarrow B^\delta$  in two canonical ways. For all  $u \in \mathbb{A}^\delta$ , define

$$f^\sigma(u) = \bigvee \{ \bigwedge \{ f(a) : x \leq a \in A \} : u \geq x \in K(A^\delta) \}$$

$$f^\pi(u) = \bigwedge \{ \bigvee \{ f(a) : y \geq a \in A \} : u \leq y \in O(A^\delta) \}$$

The map  $f$  is **smooth** if  $f^\sigma = f^\pi$ .

- **Lemma** [Gehrke, Jónsson (1994)]
  - 1 The  $\sigma$ -extension of an operator is a complete operator.
  - 2 The  $\pi$ -extension of a dual operator is a complete dual operator.

# Canonical extension for Sub

$$\begin{array}{ccc} (B^\delta, <_{f_\prec^\pi}) & \xleftarrow{\cong} & (B^\delta, f_\prec^\pi : B^\delta \times B^\delta \rightarrow \mathbf{2}) \\ & & \uparrow (\cdot)^\delta \\ (B, <) & \xleftarrow{\cong} & (B, f_\prec : B \times B \rightarrow \mathbf{2}) \end{array}$$

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# Canonical extension for Sub

$$\begin{array}{ccc} (B^\delta, <_{f_\leq^\pi}) & \xleftarrow{\cong} & (B^\delta, f_\leq^\pi : B^\delta \times B^\delta \rightarrow \mathbf{2}) \\ \uparrow (\cdot)^\delta & & \uparrow (\cdot)^\delta \\ (B, <) & \xleftarrow{\cong} & (B, f_\leq : B \times B \rightarrow \mathbf{2}) \end{array}$$

## Theorem

*The canonical extension of a Sub exists and is unique.*

Using  $(B^\delta, R_{f_\leq^\pi}) \cong (\mathcal{P}(\text{PrI}(B)), <_{[R]})$ .

Recall, the axioms (S5), (S6), (S7), (S8) and (S9) define sub-(quasi)varieties of Boolean algebra with a subordination.

## Proposition

The axioms (S5), (S6), (S7), (S8) and (S9) are preserved under taking canonical extension of Sub.

Hence, the existence and uniqueness of the **canonical extensions** for sub-(quasi)varieties of a Sub follows from the above proposition.

# Jónsson-style canonicity [Jónsson (1994), Gehrke, Nagahashi, Venema (2005)]

Canonicity  $A \models \phi \leq \psi \Rightarrow A^\delta \models \varphi \leq \psi$

$$\mathbb{A} \models \varphi \leq \psi$$



$$\varphi^{\mathbb{A}} \leq \psi^{\mathbb{A}}$$



$$\varphi^{\mathbb{A}^\delta} \leq (\varphi^{\mathbb{A}})^\sigma \leq (\psi^{\mathbb{A}})^\sigma \leq \psi^{\mathbb{A}^\delta}$$

$\sigma$ -expanding

$\sigma$ -contracting

$$\mathbb{A}^\delta \models \varphi \leq \psi$$

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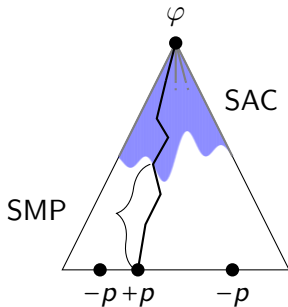
$$\varphi^{\mathbb{A}^\delta} \leq (\varphi^{\mathbb{A}})^\sigma \leq (\psi^{\mathbb{A}})^\sigma \leq \psi^{\mathbb{A}^\delta}$$

$\sigma$ -expanding

$\sigma$ -contracting

$$\mathbb{A}^\delta \models \varphi \leq \psi$$

add. coord.				mult. prod.	
+	$\vee$	$\wedge$	$g$	+	$\wedge$
-	$\wedge$	$\vee$	$f$	-	$\vee$



Sahlqvist antecedent



- Characterize the classes of Kripke frames dual to lattice subordinations, de Vries algebras (Correspondence theory).
- Finitary calculus for (modal) compact Hausdorff spaces.
- Generalize this approach to (distributive) lattice setting and compare it to the notion of canonical extension for stably compact spaces in [[van Gool 2012](#)].

Thank you!